

Certificate

GZQ Gesellschaft und Zertifizierung von Qualitäts-und Umweltmanagementsystemen mbH [Company and Certification of Quality-and Environmental Management Systems Ltd.] hereby confirm that the company



Grass AF GmbH Co & KG

for the location
Frydagstraße 30, D-44536 Lünen

based on the approval of the State Office for Environmental and Occupational Protection of Saarland
of: 24.10.2014 Reference No.: 4.2/730

for the waste management activities of:

Collecting, Transporting, Storing, Handling, Trading and Selling

has been recognised for the named waste types in the facility as

WASTE MANAGEMENT FACILITY

in accordance with § 56 Paragraph 2 of *KrWG* [German Law on Closed Cycle Management].
Through a monitoring test, it was proven that the requirements of the *Entsorgungsfachbetriebsverordnung*
(EfbV) [German Ordinance Waste Management Operations Act] have been fulfilled.

Within the realm of monitoring in accordance with *EfbV*, compliance with the requirements of

Elektro- und Elektronikgerätegesetzes – ElektroG
[German Electrical and Electronic Equipment Act]

was checked with treatment facilities.

It is hereby certified that they are pursuant to § 11 Paragraph 4 *ElektroG*.

Certificate Register No.:	14/06/413
Date of First Inspection:	08.07.2014
Date of Latest Inspection:	27.07.2017
This Certificate is valid until:	07.01.2019

Saarbrücken, 12.09.2017



Zertifizierungsstelle

Certification Body



M. Aexnat
Sachverständiger


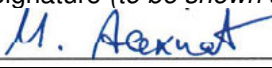

Expert

This is not a certificate in accordance with § 25 *EfbV*.
This certificate shall exclusively apply in conjunction with the certificate in accordance with § 25 and Annex 3 of *EfbV* of
07.12.2016.



GZQ mbH
Sulzbachtalstraße 131
D-66125 Saarbrücken

Technische Überwachungs-Organisation (TÜO)
[Technical Monitoring Organisation]

<p>1. Name and Address of the Certification Body</p> <p>1.1. Name: <i>GZQ Gesellschaft und Zertifizierung von Qualitäts-und Umweltmanagementsystemen mbh</i> [Company and Certification of Quality- and Environmental Management Systems Ltd.]</p> <p>1.2. Street: <i>Sulzbachtalstraße 131</i></p> <p>1.3. Country: <i>Germany</i> Federal State: <i>Saarland</i> Postcode: <i>66125</i> Place: <i>Saarbrücken</i></p>	<p>2.</p> 
<p>3. Certificate Information</p> <p>3.1. Certificate Number (freely awarded by the Certification Body): <u>14/06/413</u></p> <p>3.2. First Certification <input type="checkbox"/> or Follow-up Certification <input checked="" type="checkbox"/></p> <p>3.3. Transaction Number (if issued by the Authorities): <u>4.2/730</u></p> <p>3.4. The Certificate comprises _____ Annex(es).</p> <p>3.5. <input type="checkbox"/> The Certificate is only being issued for a particular operating part (see Annex(es) _____)</p> <p>3.6. <input type="checkbox"/> The Certificate is only being issued for particular waste types, activities or locations (see Annex(es) _____).</p> <p>3.7. The Certificate is valid until <u>07.01.2019</u>.</p>	
<p>4. Name and Address of the Waste Management Facility (Head Office):</p> <p>4.1. Name: <u>Grass AF GmbH & Co KG</u></p> <p>4.2. Street: <u>Frydagstraße 30</u></p> <p>4.3. Country: <u>Germany</u> Federal State: <u>North Rhine-Westphalia</u> Postcode: <u>44536</u> Place: <u>Lünen</u></p> <p>4.4. Entry on the Trade, Association or Cooperative Registers (if an entry has been made): Register Number: (<i>HRA</i> [Trade Register A], <i>HRB</i> [Trade Register B] etc.): <u>HRA 18198, HRB 25664</u> Register Court: <u>AG</u> [District Court] <u>Dortmund</u></p>	
<p>5. The company is authorised, with regard to the locations, activities and waste types mentioned in the Annex of this certificate, to use the monitoring symbol of the above-mentioned technical monitoring organisation or disposal company and the name</p> <p style="text-align: center;">“Waste Management Facility”</p> <p>in accordance with § 56 of the <i>Kreislaufwirtschaftsgesetzes</i> [Law on Closed Cycle Management], in connection with the <i>Entsorgungsfachbetriebverordnung</i> [German Ordinance Waste Management Operations Act].</p>	
<p>5.1. <i>Solely for certified primary treatment facilities in accordance with § 21 ElektroG</i> [German Electrical and Electronic Equipment Act]: For primary treatment facility certifications in accordance with § 21 <i>ElektroG</i>, please see Annex(es) <u>2</u></p>	
<p>5.2. <i>Solely for recognised parts, operations and systems in accordance with § 2 Paragraph 2 of AltfahrzeugV</i> [German End-of-Life Vehicle Regulations] For the recognition of the collection point/disposal point/dismantling facility/shredding facility/other system(s) for further treatment in accordance with § 2 Paragraph 2 <i>AltfahrzeugV</i>, please see Annex(es) not applicable</p>	
<p>6. Date of Inspection: <u>27.07.2017</u></p>	<p>7. Expert, who carried out the inspection:</p> <p>7.1. Name: <u>Alexnat</u> First Name: <u>Marcel</u></p> <p>7.2. Signature (<i>to be shown solely on paper version</i>): </p>
<p>8. Date of Issue: <u>12.09.2017</u></p>	<p>9. Director of the Certification Body:</p> <p>9.1. Name: <u>Scherer</u> First Name: <u>Thomas</u></p> <p>9.2. Signature (<i>to be shown solely on paper version</i>): </p>

Annex 1 of GZQ-Certificate with the Number 14/06/413

Name of the Waste Management Facility: Grass AF GmbH & Co KG

1. Location (In the event of several locations, please complete an annex for each location):

1.1. Name of the Location: Grass AF GmbH & Co KG

1.2. Street: Frydagstraße 30

1.3. Country: Germany

Federal State: North Rhine-Westphalia

Post code: 44536 Place: Lünen

2. Certified Activities

- In the event of several activities, please complete an annex for each activity, if the same waste type is not concerned.
- The treatment activity is to be consistently crossed together with the utilised and/or removal activity.
- The storage activity is to be consistently crossed together with the utilised and/or removal activity.

2.1. Collection Identification Number in accordance with § 28 *NachwV* [Ordinance on Waste Recovery and Disposal Records]: E97880925

2.1.1. Only in Germany

2.1.2. World-wide

2.2. Transport Identification Number in accordance with § 28 *NachwV*: E97880925

2.2.1. Only in Germany

2.2.2. World-wide

2.3. Storage Identification Number in accordance with § 28 *NachwV*: _____

2.3.1. For use (No. 2.5)

2.3.2. For disposal (No. 2.6)

2.4. Treatment Identification Number in accordance with § 28 *NachwV*: _____

2.4.1. For use (No. 2.5)

2.4.2. For disposal (No. 2.6)

2.5. Use Identification Number in accordance with § 28 *NachwV*: _____

Preparatory

Final

2.5.1. Preparation for further use

2.5.2. Recycling

2.5.3. Other use

2.6. Disposal Identification Number in accordance with § 28 *NachwV*: _____

Final

Preparatory

2.7. Treatment Identification Number in accordance with § 28 *NachwV*: E978M0090

2.7.1. Only in Germany

2.7.2. World-wide

2.8. Sell Identification Number in accordance with § 28 *NachwV*: E978M0090

2.8.1. Only in Germany

2.8.2. World-wide

3. Description of waste-management activities, in particular the plant technology (in the event of several plants, please complete an annex for each technical plant):

Container Service

3.1. Solely for certified primary treatment facilities in accordance with § 21 *ElektroG* [German Electrical and Electronic Equipment Act]:

Compliance with the requirements of *ElektroG* was checked and the plant is valid as a certified primary treatment facility in accordance with § 21 *ElektroG*. Not applicable

3.2. Solely for recognised parts, operations and systems in accordance with § 2 Paragraph 2 *AltfahrzeugV* [German End-of-Life Vehicle Regulations]

Compliance with the requirements of AltfahrzeugG was checked and the plant is valid as:		
3.2.1. Collection point	<input type="checkbox"/>	
3.2.2. Disposal point	<input type="checkbox"/>	
3.2.3. Dismantling facility	<input type="checkbox"/>	
3.2.4. Shredding facility	<input type="checkbox"/>	
3.2.5. Other system for further use	<input type="checkbox"/>	
<u>Not applicable</u>		
4. Waste Types in accordance with the annex of AVV [European Waste List Directive]		
4.1. All waste types	<input checked="" type="checkbox"/>	
4.2. All non-hazardous waste	<input type="checkbox"/>	
4.3. All hazardous waste	<input type="checkbox"/>	
4.4. Certain waste types	<input type="checkbox"/>	
Waste Code (if applicable, with "*" -Entry)	Waste Description	Restrictions/Comments
Group 16 04*	Explosive waste	Exempt
Group 16 09*	Oxidizing substances	Exempt
18 01 03*	Waste, whose collection and disposal presents particular requirements from an infection-preventative perspective	Exempt
18 02 02*	Waste, whose collection and disposal presents particular requirements from an infection-preventative perspective	Exempt
18 01 08*	Cytotoxic and cytostatic medicinal products	Exempt
18 02 07*	Cytotoxic and cytostatic medicinal products	Exempt
20 01 31*	Cytotoxic and cytostatic medicinal products	Exempt

Annex 2 of GZQ-Certificate with the Number 14/06/413

Name of the Waste Management Facility: Grass AF GmbH & Co KG

1. Location (In the event of several locations, please complete an annex for each location):

1.1. Name of the Location: Grass AF GmbH & Co KG

1.2. Street: Frydagstraße 30

1.3. Country: Germany Federal State: North Rhine-Westphalia Post code: 44536 Place: Lünen

2. Certified Activities

- In the event of several activities, please complete an annex for each activity, if the same waste type is not concerned.
- The treatment activity is to be consistently crossed together with the utilised and/or removal activity.
- The storage activity is to be consistently crossed together with the utilised and/or removal activity.

2.1. Collection Identification Number in accordance with § 28 *NachwV* [Ordinance on Waste Recovery and Disposal Records]: _____

2.1.1. Only in Germany

2.1.2. World-wide

2.2. Transport

Identification Number in accordance with § 28 *NachwV*: E97880925

2.2.1. Only in Germany

2.2.2. World-wide

2.3. Storage

Identification Number in accordance with § 28 *NachwV*: Production No.: E978E0010, Disposal No.: E97897546

2.3.1. For use (No. 2.5)

2.3.2. For disposal (No. 2.6)

2.4. Treatment

Identification Number in accordance with § 28 *NachwV*: Production No.: E978E0010, Disposal No.: E97897546

2.4.1. For use (No. 2.5)

2.4.2. For disposal (No. 2.6)

2.5. Use

Identification Number in accordance with § 28 *NachwV*: _____

Preparatory

2.5.1. Preparation for further use

Final

2.5.2. Recycling

2.5.3. Other use

2.6. Disposal

Identification Number in accordance with § 28 *NachwV*: _____

Final

Preparatory

2.7. Treatment

Identification Number in accordance with § 28 *NachwV*: _____

2.7.1. Only in Germany

2.7.2. World-wide

2.8. Sell

Identification Number in accordance with § 28 *NachwV*: _____

2.8.1. Only in Germany

2.8.2. World-wide

3. Description of waste-management activities, in particular the plant technology (in the event of several plants, please complete an annex for each technical plant):

Plant for treatment and temporary storage of iron and non-iron scraps (8.9 Column 2b),

Plant for temporary storage of waste (8.12 Column 2b)

3.1. *Solely for certified primary treatment facilities in accordance with § 21 ElektroG* [German Electrical and Electronic Equipment Act]:

Compliance with the requirements of *ElektroG* was checked and the plant is valid as a certified primary treatment facility in accordance with § 21 *ElektroG*.

3.2. *Solely for recognised parts, operations and systems in accordance with § 2 Paragraph 2 AltfahrzeugV* [German End-of-Life Vehicle Regulations]

Compliance with the requirements of *AltfahrzeugG* was checked and the plant is valid as:

3.2.1. Collection point	<input type="checkbox"/>	
3.2.2. Disposal point	<input type="checkbox"/>	
3.2.3. Dismantling facility	<input type="checkbox"/>	
3.2.4. Shredding facility	<input type="checkbox"/>	
3.2.5. Other system for further use	<input type="checkbox"/>	
<u>Not applicable</u>		
4. Waste Types in accordance with the annex of AVV [European Waste List Directive]		
4.1. All waste types	<input type="checkbox"/>	
4.2. All non-hazardous waste	<input type="checkbox"/>	
4.3. All hazardous waste	<input type="checkbox"/>	
4.4. Certain waste types	<input checked="" type="checkbox"/>	
Waste Code (if applicable, with "*" - Entry)	Waste Description	Restrictions/Comments
01 01 01	Waste from the breaking down of metalliferous natural resources	
01 01 02	Waste from the breaking down of metalliferous natural resources	
02 01 10	Metal waste	
10 01 01	Grate and boiler ash, slags and boiler dust with the exception of boiler dust which falls under 10 01 04	
10 02 01	Waste from the processing of slags	
10 02 02	Unprocessed slags	
10 02 10	Mill scale	
10 06 01	Slags (first and second enamel)	
10 06 02	Drosses and dregs (first and second enamel)	
10 06 04	Other particles and dust	
10 06 99	Waste not otherwise specified	
10 08 04	Particles and dust	
10 08 09	Other slags	
10 08 11	Drosses and dregs with the exception of those which fall under 10 08 10	
10 08 14	Anode scraps	
10 08 99	Waste not otherwise specified	
10 09 03	Furnace slag	
10 09 06	Casting moulds and sands from the casting with the exception of those which fall under 10 09 05	
10 09 08	Casting moulds and sands from the casting with the exception of those which fall under 10 09 07	
10 09 12	Other particles with the exception of those which fall under 10 09 11	
10 09 99	Waste not otherwise specified	
10 10 03	Furnace slag	
10 10 06	Casting moulds and sands from the casting with the exception of those which fall under 10 10 05	
10 10 08	Casting moulds and sands from the casting with the exception of those which fall under 10 10 05	
10 10 12	Other particles with the exception of those which fall under 10 10 11	
10 10 99	Waste not otherwise specified	
11 02 03	Waste from the production for aqueous electrolytical processes	
11 02 06	Waste from copper hydro-metallic processes with the exception of those which fall under 11 02 05	
12 01 01	Iron metal filings and turnings	
12 01 02	Iron dust and particles	
12 01 03	NE metal filings and turnings	

12 01 04	NE metal dust and particles	
12 01 13	Welding waste	
12 01 17	Blasting abrasive waste with the exception of those which fall under 12 01 16	
12 01 99	Waste not otherwise specified	
15 01 03	Packaging made from wood	
15 01 04	Packaging made from metal	
16 01 06	End-of-life vehicles which contain neither fluids nor other hazardous components	
16 02 14	Used equipment with the exception of those which fall between 16 02 09 and 16 02 13	
16 02 16	Components extracted from used equipment with the exception of those which fall under 16 02 15	
16 08 01	Used catalysts, which contain gold, silver, rhenium, rhodium, palladium, iridium or platin (except 16 08 07)	
16 08 03	Used catalysts, which contain transition metals or their bonds, a.n.g.	
16 11 04	Other linings and fireproof materials from non-metallic processes with the exception of those which fall under 16 11 03	
16 11 06	Linings and fireproof materials from non-metallic processes with the exception of those which fall under 16 11 05	
17 04 01	Copper, bronze, brass	
17 04 02	Aluminium	
17 04 03	Lead	
17 04 04	Zinc	
17 04 05	Iron and steel	
17 04 06	Tin	
17 04 07	Mixed metals	
17 04 09*	Waste metal, which has been contaminated by hazardous substances	
17 04 10*	Cables which contain oil, coal tars or other hazardous substances	
17 04 11	Cables with the exception of those which fall under 17 04 10	
19 01 02	Iron parts removed from rust and boiler ash	
19 10 01	Iron and steel waste	
19 10 02	NE metal waste	
19 12 02	Iron metal	
19 12 03	Non-iron metal	
19 12 12	Other waste (including material mixtures) from the mechanical treatment of waste with the exception of those which fall under 19 12 11	
20 01 36	Used electric and electronic equipment with the exception of those which fall under 20 01 21, 20 01 23 and 20 01 35	Visual display units, fridges, night-storage equipment as well electric equipment which contains hazardous components, e.g. batteries, mercury switches, PCB-containing components are exempt
20 01 40	Metals	